



# STIC Search Report

## Biotech-Chem Library

STIC Database Tracking Number: 140522

TO: Sumesh Kaushal  
Location: REM/2C70  
Art Unit: 1636  
Wednesday, December 29, 2004

Case Serial Number: 09/442542

From: Mary Jane Ruhl  
Location: Biotech-Chem Library  
Remsen 1-A-62  
Phone: 571-272-2524

[maryjane.ruhl@uspto.gov](mailto:maryjane.ruhl@uspto.gov)

### Search Notes

Examiner Kaushal,

Here are the results for your recent search request.

Please feel free to contact me if you have any questions about these results.

Thank you for using STIC services. We appreciate the opportunity to serve you.

Sincerely,

Mary Jane Ruhl  
Technical Information Specialist  
STIC  
Remsen 1-A-62  
Ext. 22524

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Ex Seal



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FILE 'HCAPLUS' ENTERED AT 14:56:16 ON 29 DEC 2004

E SHEA LONNIE/AU  
 L1 29 SEA ABB=ON ("SHEA LONNIE"/AU OR "SHEA LONNIE D"/AU)  
 E BONADIO JEFFREY/AU  
 L2 73 SEA ABB=ON ("BONADIO J"/AU OR "BONADIO JEFFREY"/AU OR  
 "BONADIO JEFFREY F"/AU)  
 E MOONEY DAVID/AU  
 L3 155 SEA ABB=ON ("MOONEY DAVID"/AU OR "MOONEY DAVID A"/AU OR  
 "MOONEY DAVID E"/AU OR "MOONEY DAVID J"/AU OR "MOONEY DAVID  
 M"/AU OR "MOONEY DAVID S"/AU OR "MOONEY DAVID W"/AU)  
 L4 1 SEA ABB=ON L1 AND L2 AND L3

FILE 'REGISTRY' ENTERED AT 15:25:19 ON 29 DEC 2004

E NUCLEIC ACID/CN

FILE 'HCAPLUS' ENTERED AT 15:25:29 ON 29 DEC 2004

L5 526207 SEA ABB=ON ?NUCLEIC? (W) ?ACID?  
 L6 240573 SEA ABB=ON L5 AND (?STRUCT? AND ?POROUS? OR ?PORE? OR ?CELL?)  
 L7 130 SEA ABB=ON L6 AND ?ALGINAT?  
 L8 1 SEA ABB=ON L7 AND ?CELL? (W) ?INTERACT?  
 L9 1 SEA ABB=ON L7 AND ?TISSUE? (W) ?ENGINEER?  
 L10 3 SEA ABB=ON L7 AND ?STRUCT? (W) (?MATRIX? OR ?MATRICES?)  
 L11 57 SEA ABB=ON L7 AND (?LEACH? OR ?POLYMER?)  
 L12 2 SEA ABB=ON L7 AND (?LEACH? AND ?POLYMER?)  
 L13 5 SEA ABB=ON L8 OR L9 OR L10 OR L12  
 L14 4 SEA ABB=ON L13 AND (?COMPOS? OR ?METHOD? (6A) (?PRODUC? OR  
 ?PROCES? OR ?SYNTH? OR ?MAKE?))  
 L15 5 SEA ABB=ON L13 OR L14

FILE 'MEDLINE, BIOSIS, EMBASE, JAPIO, JICST-EPLUS, COMPENDEX, APOLLIT,  
 EMA, PLASPEC, RAPRA, PASCAL, BABS' ENTERED AT 15:33:05 ON 29 DEC 2004

L16 1 SEA ABB=ON L15

□=> d que stat l15

L5 526207 SEA FILE=HCAPLUS ABB=ON ?NUCLEIC?(W)?ACID?  
 L6 240573 SEA FILE=HCAPLUS ABB=ON L5 AND (?STRUCT? AND ?POROUS? OR  
 ?PORE? OR ?CELL?)  
 L7 130 SEA FILE=HCAPLUS ABB=ON L6 AND ?ALGINAT?  
 L8 1 SEA FILE=HCAPLUS ABB=ON L7 AND ?CELL?(W)?INTERACT?  
 L9 1 SEA FILE=HCAPLUS ABB=ON L7 AND ?TISSUE?(W)?ENGINEER?  
 L10 3 SEA FILE=HCAPLUS ABB=ON L7 AND ?STRUCT?(W)(?MATRIX? OR  
 ?MATRICES?)  
 L12 2 SEA FILE=HCAPLUS ABB=ON L7 AND (?LEACH? AND ?POLYMER?)  
 L13 5 SEA FILE=HCAPLUS ABB=ON L8 OR L9 OR L10 OR L12  
 L14 4 SEA FILE=HCAPLUS ABB=ON L13 AND (?COMPOS? OR ?METHOD?(6A)(?PRO  
 DUC? OR ?PROCES? OR ?SYNTH? OR ?MAKE?))  
 L15 5 SEA FILE=HCAPLUS ABB=ON L13 OR L14

=> d ibib abs l15 1-5

L15 ANSWER 1 OF 5 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 2004:567705 HCAPLUS

DOCUMENT NUMBER: 141:427827

TITLE: Development of standards for the characterization of  
 natural materials used in **tissue**  
**engineered** medical products (TEMPS)

AUTHOR(S): Kaplan, David S.

CORPORATE SOURCE: Natural Biological Materials Characterization and Test  
 Method Development, FDA, Office of Science and  
 Technology, Center for Devices and Radiological  
 Health, Rockville, MD, 20852, USA

SOURCE: ASTM Special Technical Publication (2004), STP  
 1452(Tissue Engineered Medical Products (TEMPS)),  
 172-175

CODEN: ASTTA8; ISSN: 0066-0558

PUBLISHER: ASTM International

DOCUMENT TYPE: Journal; General Review

LANGUAGE: English

AB A review. ASTM Committee on F4 Medical and Surgical Materials and  
 Devices, Division IV, **Tissue Engineered** Medical  
 Products (TEMPS), Biomaterials and Biomols. for TEMPS Subcommittee (F4.42)  
 has been developing stds. for characterizing natural materials used in  
 TEMPS. Natural materials include **alginate**, chitosan, collagen  
 and hyaluronate. These materials support **cell** growth and  
 differentiation on TEMPS substrates and scaffolds. Natural materials have  
 been used in a variety of applications, including encapsulation,  
**cell** seeding, development of "memory" biomaterials, as well as  
 degradable scaffolds, growth factor/**nucleic acid**  
 delivery vehicles, and as a carrier to improve product handling  
 characteristics. These materials have typically been very poorly  
 characterized as to their chemical, phys. and biol. properties. This has  
 resulted in variability in the products produced from these starting  
 materials. The development of Standard Guides and Test Methods for  
 characterizing natural materials is anticipated to reduce the variability  
 of these starting materials and to aid in the assessment of the safety of  
 the subsequent TEMPS. Three Standard Guides for characterizing the natural  
 materials that are used as starting materials in the production of TEMPS have  
 been developed and approved as ASTM standard guides. The first guide deals  
 with **Alginate**, while the second guide deals with Chitosan and  
 Chitosan salts. A third guide was recently approved for the  
 characterization of Type I collagen used for surgical implants and  
 substrates for TEMPS. Standard test methods are under development for the use  
 of 1H-NMR to determine the mol. weight of **alginate** and the degree of

deacetylation of chitosan. Planned future documents will include guides to characterize addnl. types of collagen and hyaluronate, as well as the development of addnl. standard test methods for characterizing the natural materials.

REFERENCE COUNT: 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L15 ANSWER 2 OF 5 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 2003:633053 HCAPLUS

DOCUMENT NUMBER: 139:169383

TITLE: Novel wound healing **composition** not containing bovine-derived activating reagents

INVENTOR(S): Britton, Calvin; Dellinger, Alex; Limbird, Jim; Keller, Carl; Worden, Charles

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 7 pp., Cont.-in-part of U.S. Ser. No. 898,316, abandoned.

CODEN: USXXCO

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 2003152639	A1	20030814	US 2002-323861	20021217
US 2003007957	A1	20030109	US 2001-898316	20010703

PRIORITY APPLN. INFO.: US 2001-898316 B2 20010703

AB A wound care preparation free from bovine-derived activating agents is disclosed for use in wound care, for both topical wounds and surgical wounds. The preparation is isolated by first obtaining an amount of whole blood

from the patient and treating the whole blood with one or more anti-clotting agents, subjecting the whole blood to a centrifugation process to obtain an amount of platelet-rich plasma, adding to the platelet-rich plasma an amount of anti-clotting neutralizing agent, and mixing the platelet-rich plasma with a **structural matrix** to increase viscosity of the preparation In use, the viscous preparation can

be applied directly to a wound or surgery incision and the viscous preparation may be mixed with other wound healing agents, growth matrixes, or promoters such as antifungal agents, antibiotics, and preservatives. For example, platelet-rich plasma (PRP) was obtained and combined with one part powdered vitamin C and 3 parts chitosan. After several minutes a golden colored gel was formed. The gel can be applied to the wound bed and remainder stored and refrigerated for at least 5-7 days (the viable life span of a platelet) and subsequently used. Gel viscosity can be controlled by (i) adding more PRP to make the gel less viscous, (ii) adding less vitamin C to decrease the acidity therefore decrease viscosity, or (iii) adding more vitamin C to increase acidity and therefore increase viscosity.

L15 ANSWER 3 OF 5 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 2003:23353 HCAPLUS

DOCUMENT NUMBER: 138:49970

TITLE: Novel wound healing **composition** not containing bovine-derived activating reagents

INVENTOR(S): Britton, Calvin; Dellinger, Alex; Limbird, Jim; Keller, Carl; Worden, Charles

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 7 pp.

DOCUMENT TYPE: CODEN: USXXCO  
 LANGUAGE: Patent  
 FAMILY ACC. NUM. COUNT: English  
 2  
 PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 2003007957	A1	20030109	US 2001-898316	20010703
US 2003152639	A1	20030814	US 2002-323861	20021217

PRIORITY APPLN. INFO.: US 2001-898316 B2 20010703

AB A wound care preparation free from bovine-derived activating agents is disclosed for use in wound care, for both topical wounds and surgical wounds. The preparation is isolated by first obtaining an amount of whole blood from the patient and treating the whole blood with one or more anti-clotting agents, subjecting the whole blood to a centrifugation process to obtain an amount of platelet-rich plasma, adding to the platelet-rich plasma an amount of anti-clotting neutralizing agent, and mixing the platelet-rich plasma with a **structural matrix** to increase viscosity of the preparation. In use, the viscous preparation can be applied directly to a wound or surgery incision and the viscous preparation may be mixed with other wound healing agents, growth matrixes, or promoters such as anti-fungal agents, anti-biotic agents, and preservatives.

L15 ANSWER 4 OF 5 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 1999:736893 HCAPLUS  
 DOCUMENT NUMBER: 131:332976  
 TITLE: Sustained dna delivery from **structural porous** matrices for gene therapy applications with special emphasis is on bone formation and regeneration  
 INVENTOR(S): Shea, Lonnie D.; Bonadido, Jeffrey; Mooney, David J.  
 PATENT ASSIGNEE(S): The Regents of the University of Michigan, USA  
 SOURCE: PCT Int. Appl., 144 pp.  
 CODEN: PIXXD2  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 9958656	A2	19991118	WO 1999-US10330	19990512
WO 9958656	A3	20000106		
W: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM				
RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BJ, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG				
AU 9938986	A1	19991129	AU 1999-38986	19990512
PRIORITY APPLN. INFO.:			US 1998-85305P	P 19980513
			US 1998-109054P	P 19981119
			WO 1999-US10330	W 19990512

AB Disclosed are particular 3-dimensional **structural matrixes** containing DNA and their use in the prolonged release of DNA

in various biol. environments. The **structural matrix** is a **porous polymer** [PLGA]-based containing **pores** formed by gas foaming involving inert gases (CO<sub>2</sub>) and **leaching** out of a water-soluble particulate (salt, NaCl, sugar, glucose, sucrose, mannitol) when exposed to body fluids. The admixt. is compression molded into a selected size and shape prior to executing the gas foaming process. The **structural matrix** may also be an **alginate** or modified **alginate** matrix. This **structural matrix** is a biocompatible or biodegradable matrix. It may also be a lactic acid **polymer**, glycolic acid **polymer** or lactic acid/glycolic acid **copolymer** matrix. At least part of this matrix may be comprised of lactic acid/glycolic acid (PLGA) **copolymer** matrix. The **structural matrix** may be modified where one side section is bonded to one **cell interaction** mol. such as **cell** adhesion mols., **cell** attachment peptides, proteoglycan attachment peptide sequences, proteoglycans, **cell** adhesion polysaccharides, growth factors, **cell** adhesion enzymes, RGD peptide, fibronectin, vitronectin, Laminin A, Laminin B1, Laminin B2, collagen 1 and thrombospondin. The DNA-matrix materials are created such that they maintain a defined space, allowing **cellular** migration, transfection and proliferation to occur in a controlled manner. Such DNA-containing **structural matrixes** are thus particularly useful in in vivo **cell** transfection and gene expression in the context of gene therapy. This may encode a protein for stimulating bone progenitors or wound healing in fibroblast or in tissue or organ regeneration or transplantation or an antigen for immunity or cytotoxic or apoptosis-inducing protein or a transcription factor or elongation factor or **cell** cycle control protein or kinase or phosphatase or DNA repair protein or oncogene or tumor suppressor or angiogenic protein or anti-angiogenic protein or immune response stimulating protein or **cell** surface receptor or accessory signaling mol. or transport protein or anti-bacterial or anti-viral protein or hormone or neurotransmitter or growth factor or growth factor receptor or interferon or interleukin or chemokine or cytokine or colony stimulating factor or chemotactic factor protein of growth hormone or parathyroid hormone or PTH1-34 polypeptide or bone morphogenic protein or BMP-2A or BMP-2B or BMP-3 or BMP-4 or BMP-5 or BMP-6 or BMP-7 or BMP-8 or TGF- $\alpha$  or TGF- $\beta$ 1 or TGF- $\beta$ 2 or latent TGF $\beta$  binding protein or activin/inhibin protein or FGF or GM-CSF or EGF or PDGF or insulin-like growth factor or leukemia inhibitory factor. This method allows for the use in gene transfer to **cells** within a tissue site and in manufacture of a medicament for gene therapy. Implantable medical devices comprising this gene-matrix are described. The release of **nucleic acids** from the matrix is controlled by diffusion. This method also applies to cancer therapy or treating viral infection.

L15 ANSWER 5 OF 5 HCAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: 1957:59788 HCAPLUS

DOCUMENT NUMBER: 51:59788

ORIGINAL REFERENCE NO.: 51:11008b-f

TITLE: Structure formation by ion diffusion, simplex-ionotropism

AUTHOR(S): Thiele, Heinrich; Langmaack, Lothar

CORPORATE SOURCE: Univ. Kiel, Germany

SOURCE: Zeitschrift fuer Naturforschung (1957), 12B, 14-23

CODEN: ZNTFA2; ISSN: 0372-9516

DOCUMENT TYPE: Journal

LANGUAGE: Unavailable

AB Anisotropic gels can be obtained by allowing the counterions to diffuse

into a polyelectrolyte solution, or by mixing 2 polyelectrolyte solns. of opposite charge. In the latter case, however, membranes often are produced at the interface, which hinder further diffusion. To overcome this difficulty, the acid component can be used as the ester, which is hydrolyzed at the interface by the polybase; e.g., glycol **alginate** and polyethylene imine (I). Another method is to start with a solution containing both components, and allow  $H^+$  or  $OH^-$  to diffuse in. An example of this, with a low-mol. cation, is the diffusion of  $H^+$  into  $Cu(NH_3)_4^{++}$

**alginate** or **carboxymethylcellulose**; the Cu salts obtained by the **decomposition** of the complex with  $H^+$  form an anisotropic gel, which then exchanges its  $Cu^{++}$  for  $H^+$ . A similar process can be carried out with 2 polyelectrolytes, e.g. alginic (II) or carrageenic acid with hydroxyethylpolyglucosamine (III), polyglucosamine, or gelatin, or poly(acrylic acid) with I. Also, polyampholytes, such as gelatin or ovalbumin (IV), can be used with polyacids, such as choindroitinsulfuric acid, or polybases, such as III. These systems show similarities to protein systems, in the fact that their swelling and soluble are smallest at the isoelec. point, and their turbidity greatest. Some resemble the albumins in their solubility relations, others resemble globulins or other classes of proteins. The effect of pH, the ratio of the concns. of the 2 components, and the chain length was studied. The birefringence was maximum at the isoelec. point, at a concentration ratio close to

equivalence,

and decreased with chain length (this was studied with gelatin partly degraded with trypsin, or hyaluronic acid partly degraded with hyaluronidase). In IV-II gels, birefringence was 3-4 times as great when IV had been denatured by heat, probably because denaturation makes it more fibrous. Alignment of the polyelectrolyte chains, in a circular spot of gel around the added drop of acid or base, is often radial in an inner ring and tangential in an outer ring. It is sometimes possible to dissolve one component out of the gel, leaving the other component ordered. E.g. in an IV-II gel, IV can be insolubilized with  $HCHO$ , or II with  $Ca(OH)_2$ , and the soluble component **leached** out. Anisotropic gels can also be formed from a single ampholyte, e.g. gelatin. The systems studied are compared to native biol. structures, e.g. collagen and polysaccharides in bone and cartilage, actin and myosin in muscle, or **nucleic acid** and protein.

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L5      526207 SEA FILE=HCAPLUS ABB=ON  ?NUCLEIC?(W)?ACID?
L6      240573 SEA FILE=HCAPLUS ABB=ON  L5 AND (?STRUCT? AND ?POROUS? OR
      ?PORE? OR ?CELL?)
L7      130 SEA FILE=HCAPLUS ABB=ON  L6 AND ?ALGINAT?
L8      1 SEA FILE=HCAPLUS ABB=ON  L7 AND ?CELL?(W)?INTERACT?
L9      1 SEA FILE=HCAPLUS ABB=ON  L7 AND ?TISSUE?(W)?ENGINEER?
L10     3 SEA FILE=HCAPLUS ABB=ON  L7 AND ?STRUCT?(W)(?MATRIX? OR
      ?MATRICES?)
L12     2 SEA FILE=HCAPLUS ABB=ON  L7 AND (?LEACH? AND ?POLYMER?)
L13     5 SEA FILE=HCAPLUS ABB=ON  L8 OR L9 OR L10 OR L12
L14     4 SEA FILE=HCAPLUS ABB=ON  L13 AND (?COMPOS? OR ?METHOD?(6A)(?PRO
      DUC? OR ?PROCES? OR ?SYNTH? OR ?MAKE?))
L15     5 SEA FILE=HCAPLUS ABB=ON  L13 OR L14
L16     1 SEA L15

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=> d ibib abs 116 1-1

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L16 ANSWER 1 OF 1 COMPENDEX COPYRIGHT 2004 EEI on STN
ACCESSION NUMBER:      2004(32):4736 COMPENDEX
TITLE:                  Development of standards for the characterization of
                        natural materials used in Tissue
                        Engineered Medical Products (TEMPS).
AUTHOR:                  Kaplan, David S. (FDA Ctr. for Devices/Radiological
                        Hlth. Office of Science and Technology, Rockville, MD
                        20852, United States)
SOURCE:                  ASTM Special Technical Publication n 1452 2004.p
                        172-175
                        CODEN: ASTTA8      ISSN: 1040-3094
PUBLICATION YEAR:      2004
DOCUMENT TYPE:          Journal
TREATMENT CODE:        Theoretical
LANGUAGE:               English
AN  2004(32):4736 COMPENDEX
AB  Development of standards for the characterization of natural materials
      used in tissue engineered medical products (TEMP) was
      discussed. Three Standard guides for characterizing the natural materials
      that are used as starting materials in the production of TEMPs have been
      developed. The first guide deals with Alginate, while the second
      guide deals with Chitosan and Chitosan salts. The third guide deals with
      characterization of collagen. (Edited abstract) 4 Refs.

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=> d ibib abs ind l4 1-1

L4 ANSWER 1 OF 1 HCAPLUS COPYRIGHT 2004 ACS on STN  
ACCESSION NUMBER: 1999:366387 HCAPLUS  
TITLE: DNA delivery from polymer matrices for tissue engineering  
AUTHOR(S): **Shea, Lonnie**; Smiley, Elizabeth;  
**Bonadio, Jeffrey**; **Mooney, David J.**  
CORPORATE SOURCE: Department of Biologic and Materials Science,  
University of Michigan, Ann Arbor, MI, 48109-1078, USA  
SOURCE: Nature Biotechnology (1999), 17(6), 551-554  
CODEN: NABIF9; ISSN: 1087-0156  
PUBLISHER: Nature America  
DOCUMENT TYPE: Journal  
LANGUAGE: English  
AB We have proposed engineering tissues by the incorporation and sustained release of plasmids encoding tissue-inductive proteins from polymer matrixes. Matrixes of poly(lactide-co-glycolide) (PLG) were loaded with plasmid, which was subsequently released over a period ranging from days to a month in vitro. Sustained delivery of plasmid DNA from matrixes led to the transfection of large nos. of cells. Furthermore, in vivo delivery of a plasmid encoding platelet-derived growth factor enhanced matrix deposition and blood vessel formation in the developing tissue. This method of DNA delivery may find utility in tissue engineering and gene therapy applications.  
REFERENCE COUNT: 18 THERE ARE 18 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT